



# FEATURED MANAGERS

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# FEATURED MANAGERS



## COMPANY PROFILE

500 Startups is a venture capital firm on a mission to discover and back the world's most talented entrepreneurs and build thriving global ecosystems. We have invested in over 2,400 early-stage companies across 75 countries and are consistently ranked as the most active venture capital firm in deals and exits.

Our Southeast Asian "500 Durians" team has invested in over 200 regional startups since 2013 including being early in companies like Grab, Carousell and Bukalapak among many others. This is just the beginning - we are aggressively growing our team to serve even more founders and be even more impactful to entrepreneurship and the region.

## ALTERNATIVE INVESTMENT EXPERTISE

Venture Capital focusing on Seed stage investments in the ASEAN region.

## AREA OF EXPERTISE

Southeast Asia Venture Capital

## STRATEGY(IES) IN FOCUS

Venture Capital

## GEOGRAPHICAL MANDATES IN FOCUS:

ASEAN



## COMPANY PROFILE

**Aaro Capital** is a Distributed Ledger Technology (DLT) and Cryptoasset Specialist which was established in 2018. Our aim is to inform sophisticated investors on how best to access the exciting and rapidly evolving DLT and Cryptoasset growth story. We are working closely with established investment industry partners, as well as DLT specialists and economic professors from top UK universities. Our experienced team combines thorough economic and empirical analysis with established investment industry practices, to identify best-in-class active managers who prioritise effective downside risk protection.

## AUM RANGE

Below US \$500m

## ALTERNATIVE INVESTMENT EXPERTISE

The Aaro DLT Multifund is a fully-regulated, EU-domiciled Fund of Funds, focusing on the exciting and rapidly evolving DLT / Blockchain and Cryptoasset growth story. Its experienced team combines thorough economic and empirical analysis with established investment industry practices, to select best-in-class active managers while prioritising effective downside risk protection.

## AREA OF EXPERTISE

Blockchain & Crypto Assets focused Fund of Funds

## STRATEGY(IES) IN FOCUS

Crypto Assets



## GEOGRAPHICAL MANDATES IN FOCUS

Global

## AUM RANGE (FUND IN FOCUS)

Below US \$250m

## COMPANY PROFILE

**BNY Mellon Investment Management** is one of the world's largest investment firms, with USD2 trillion in assets under management as of 30 June 2020. Our investment management model brings to clients the best of both worlds: specialist expertise from eight world-class investment firms offering solutions across every major asset class. This includes Alcentra which is focused on sub-investment grade corporate debt, covering the entire spectrum of assets – from Secured Loans and High Yield Bonds to Direct Lending, Special Situations, Structured Credit and Multi-Strategy Credit. Each investment firm has its own individual culture, investment philosophy and proprietary investment processes. Our structure encourages an entrepreneurial, focused approach to investment. This creates an environment in which each firm can perform and build on its individual experience and strengths in the development of new products.

## AUM RANGE

Above US \$200bn

## ALTERNATIVE INVESTMENT EXPERTISE

The Direct Lending strategy aims to generate attractive, risk-adjusted returns by providing capital to middle-market corporate borrowers predominately in Europe through making loans which typically benefit from first ranking security over the assets of borrowers' businesses.

## AREA OF EXPERTISE

Europe Private Debt (Direct Lending)

## STRATEGY(IES) IN FOCUS

Credit; Private Debt

## GEOGRAPHICAL MANDATES IN FOCUS

Europe; North America

## AUM RANGE (FUND IN FOCUS)

Above US \$5bn



## COMPANY PROFILE

Investing in Core Infrastructure Debt at **AllianzGI**: Infrastructure Debt is an asset class for institutional investors that aims to provide long-term stable returns and cash flows. At Allianz Global Investors we source high credit quality Infrastructure Debt transactions by identifying, differentiating and managing risk. By originating such placements privately we are able to source opportunities with attractive illiquidity spreads and offer improved access to a diversity of sectors that would otherwise be closed to public investors. Our Infrastructure Debt platform has been active in the primary market on behalf of Allianz and third-party clients since 2012. We take pride in having a competitive advantage over our peers resulting from our dedicated team's substantial pre-existing industry relationships and execution experience of Infrastructure Debt tailored for institutional



investors. The team differentiates itself through sourcing and origination of private placements, innovative financial engineering and through the enhanced credibility that our current client base delivers with borrowers.

### AUM RANGE

Above US \$200bn

### ALTERNATIVE INVESTMENT EXPERTISE

Investing in Core Infrastructure Debt at AllianzGI

5 key reasons to invest with the AllianzGI platform:

- Senior Infrastructure Debt, investment grade and externally rated
- Enhanced spreads over mid-swaps in a time of historically low yields
- Long-dated maturities and secure cash-flows
- Can be used as a matching asset for defined benefit (DB) pension schemes
- Lower default probability (and higher expected recovery rates) relative to equivalently rated corporate bonds over the longer term

### AREA OF EXPERTISE

Global Infrastructure Debt/Equity

### STRATEGY(IES) IN FOCUS

Infrastructure Debt/Equity

### GEOGRAPHICAL MANDATES IN FOCUS

Global

### AUM RANGE (FUND IN FOCUS)

Above US \$5bn



### COMPANY PROFILE

At **Allianz Global Investors [Risk Management]**, we have a dedicated investment and risk advisory unit called risklab™. Our risklab™ division was founded more than 15 years ago and consists of an expert team with over 60 global investment advisors and analysts. The team is responsible for more than USD 90bn in assets under advice across its core services and solutions. Based on deep-rooted research, proprietary Long-Term Capital Market Assumptions and state-of-the-art quantitative financial modelling tools, our capabilities include customized advice and solutions in the following areas: (1) Asset Allocation & Portfolio Construction, (2) Risk Management, (3) Alternatives, (4) Insurance and (5) Pension Solutions.

With the recent rise in market volatility, the team focuses increasingly on dynamic risk management capabilities. We build risk mitigating capabilities that are tailored to the uniqueness of each portfolio and its risk budget, without interfering with the existing portfolio allocation structure.

### AUM RANGE

Above US \$200bn

### ALTERNATIVE INVESTMENT EXPERTISE

With the recent rise in market volatility, the team focuses increasingly on dynamic risk management capabilities. We build risk mitigating capabilities that are tailored to the uniqueness of each portfolio and its risk budget, without interfering with the existing portfolio allocation structure.

## AREA OF EXPERTISE

Dynamic Risk Management Solutions

## STRATEGY(IES) IN FOCUS

Multi-Asset; Risk Management Solutions

## GEOGRAPHICAL MANDATES IN FOCUS

Global

## AUM RANGE (FUND IN FOCUS)

Above US \$5bn



## COMPANY PROFILE

**Asset Management One Co., Ltd.** is one of the largest asset managers in Asia with over \$480 billion in assets under management as of June 2020, and is a leading provider of investment products for institutional and retail clients in Japan. Fully owned and backed by two of Japan's leading financial institutions, Mizuho Financial Group and Dai-ichi Life Holdings, Inc., and headquartered in Tokyo with four global offices. Asset Management One offers a wide range of investment solutions globally to investors.

## AUM RANGE

Above US \$200bn

## ALTERNATIVE INVESTMENT EXPERTISE

AMO Asian Equity Long Short Fund (the Fund) strives to achieve absolute returns through the "Long and Short" strategy on the back of evaluating securities correctly with our thorough research.

The PM team's overriding objective for the Fund is to provide low risk, middle absolute returns over a market cycle through its 'market neutral long and short' strategy that take long positions on stocks which are undervalued, where the potential value of the company is not fully reflected in the share price, whilst also taking short positions on stocks which are overvalued, where the fundamentals of the company are deteriorating, or the stock valuation is becoming rich.

## AREA OF EXPERTISE

Asia Equity Long/Short Strategy (Market Neutral)

## STRATEGY(IES) IN FOCUS

Hedge Funds

## GEOGRAPHICAL MANDATES IN FOCUS

Asia ex-Japan

## AUM RANGE (FUND IN FOCUS)

Below US \$250m



## COMPANY PROFILE

**AXA IM Alts** is one of the global leaders in alternative investments with €154 billion of assets under management as of end of June 2020<sup>(1)</sup>, across real estate, infrastructure, private debt, structured finance and hedge funds. AXA IM Alts employs over 700 people located in 15 offices around the world and serve the



needs of more than 300 clients from Europe, North America, Asia Pacific and Middle East. We are a global leader in real assets investment with €103bn of assets under management, the number one property portfolio and asset manager in Europe<sup>(2)</sup>, and one of the largest worldwide.

<sup>1</sup> Source: AXA IM - Real Assets' unaudited data. All figures as of June 2020.

<sup>2</sup> Source: INREV Fund Manager Survey - May 2019 in terms of assets under management

### AUM RANGE

US \$50bn to US \$200bn

### ALTERNATIVE INVESTMENT EXPERTISE

Banks are evolving in a stricter regulatory context with higher capital requirements while the low interest environment weights on their internal capital generation to support the growth of their lending activities.

A Capital Solutions Transaction is a bilateral or syndicated transaction with a bank seeking improvement of its regulatory capital ratios while continuing its commercial development.

Capital Solution offers a unique opportunity for investors to diversify and potentially increase their credit portfolio returns by accessing performing, core, performing asset classes, geographical exposure and idiosyncratic credit exposure that used to be the exclusive playfield of banks (corporate loans, revolvers, SME loans, counterparty risks, mortgages...).

### AREA OF EXPERTISE

Global Regulatory Capital Solutions

### STRATEGY(IES) IN FOCUS

Credit; Hedge Funds; Infrastructure Debt/Equity; Private Debt; Private Equity; Real Estate

### GEOGRAPHICAL MANDATES IN FOCUS:

Global

### AUM RANGE (FUND IN FOCUS)

US \$1bn to US \$5bn



### COMPANY PROFILE

**BlackRock** is working with investors to build the future of alternatives and private markets investing, combining innovative approaches at the sector level with a broad, outcome-oriented view that spans a comprehensive platform of hedge funds, private credit, private equity, real assets, and multi-alternative solutions.

### AUM RANGE

Above US \$200bn

### AREA OF EXPERTISE

Global Private Debt

### STRATEGY(IES) IN FOCUS

Credit; Hedge Funds; Multi-Asset; Private Debt; Private Equity; Real Estate; Renewable Energy; Infrastructure Debt/Equity

### GEOGRAPHICAL MANDATES IN FOCUS:

ASEAN; Asia ex-Japan; Europe; North America; Global



## COMPANY PROFILE

**Cheyne's Real Estate** business began managing investor capital in 2008 and is now one of the largest non-bank real estate credit businesses in Europe.

Ravi Stickney, CIO and Managing Partner of Cheyne Real Estate, leads a team with extensive combined experience in European real estate investing across the capital structure and across a number of strategies, including senior debt, mezzanine debt, structured credit (including MBS), complex special situations and direct real estate equity, primarily in the UK, Germany and France.

## AUM RANGE

US \$5bn to US \$50bn

## ALTERNATIVE INVESTMENT EXPERTISE

Cheyne has been one of the market leaders in capitalising on real estate lending opportunities and will aim to continue to do so in the latest vintages of the Cheyne Real Estate Credit Holdings (CRECH) programme. The funds will aim to originate mainly senior real estate loans backed by mainly Value-Add and Development assets in the UK and Europe with a smaller allocation to Core and Core+. The funds will invest with a theme of downside risk mitigation, capital preservation and a focus on offering the highest levels of transparency, liquidity and creditor protection.

## AREA OF EXPERTISE

Europe (UK) Real Estate Debt

## STRATEGY(IES) IN FOCUS

Private Debt; Real Estate

## GEOGRAPHICAL MANDATES IN FOCUS

Europe

## AUM RANGE (FUND IN FOCUS)

US \$1bn to US \$5bn



## COMPANY PROFILE

**China Asset Management (Hong Kong) Limited ("ChinaAMC(HK)")** is a wholly-owned subsidiary of China Asset Management Co. Limited that was incorporated in Hong Kong in September 2008. As a top Chinese fund management company in Hong Kong, ChinaAMC (HK) is committed to developing offshore and cross-border asset management businesses by leveraging the expertise of its experienced investment and research teams and its shareholder companies' resources, services and connections in Mainland China.

We provide global investors with comprehensive China investment solutions. Our product line includes equity long-short fund, equity long-only fund, fixed-income fund and ETF, etc.

## AUM RANGE

US \$5bn to US \$50bn

## ALTERNATIVE INVESTMENT EXPERTISE

China Growth Fund is an actively managed long-short equity fund that combines rigorous fundamental analysis with proprietary macro research on Chinese companies.



The fund will focus on listed Chinese stocks in Hong Kong SAR, China A shares and B share, US ADRs, and other markets.

The portfolio is constructed of 30-45 high conviction names where risk-reward is most attractive. Preservation of capital based on conscientious decision making and limiting downside based on proactive management

### AREA OF EXPERTISE

Greater China Equity Long/Short Strategy

### STRATEGY(IES) IN FOCUS

Hedge Funds; Fixed-Income

### GEOGRAPHICAL MANDATES IN FOCUS

China; Global

### AUM RANGE (FUND IN FOCUS)

US \$250m to US \$500m

## **CHRISTOFFERSON ROBB & COMPANY**

### COMPANY PROFILE

**Christofferson, Robb & Company (“CRC”)** is an independent private credit/debt asset manager with offices in New York and London managing US\$4.5 billion in AUM in multi-investor funds and SMAs for leading investors worldwide. Starting in 2020, CRC is now the leader in Risk Sharing Transactions (‘RSTs’) for banks in core Europe (Germany, France, Italy and Benelux). RSTs improve a bank’s Tier 1 Regulatory Capital Ratio by transferring the credit risk on granular portfolios of SME and MidCap loans with a high risk-weightings to CRC.

Investors earn a high, risk-adjusted return (net IRR of 11%+ in US\$) with predictable cash flows, low volatility and minimal correlation to other asset classes. RSTs are now an important capital management tool for banks across Europe.

CRC’s RSTs have produced stable returns over many years experiencing very low levels of default.

CRC invests ~ US\$1.25 billion in RSTs any year.

### AUM RANGE

US \$1bn to US \$ 5bn

### ALTERNATIVE INVESTMENT EXPERTISE

CRC aims is to produce high absolute returns, with minimal correlations to other markets, by investing in Risk Sharing Transactions (“RSTs”) with banks in core Europe. The referenced assets are predominantly granular portfolios (filtered by CRC) of performing loans to SMEs, MidCaps and Renewables. RSTs have been CRC’s focused for 18 years and has invested in 93 bilateral RSTs since 2003, protecting notional bank assets of US\$250 billion.

CRC’s manages single and multi-investor funds for leading public and private sectors pension plans, SWFs, family offices and foundations and endowments from North America, Asia and Europe. These funds invest in diversified portfolios of RSTs with banks in core Europe (Germany, Italy, France, Spain, Switzerland and Benelux). Investments are typically made over an 18 month period in portfolios of 10-15 RSTs with average lives of 4 years.

Distribution are quarterly, once full invested.

Target net returns are 10-11% in USD and a MOIC of 1.4.

CRC’s RSTs are designed withstand extreme stress and still produce a steady returns, with low volatility as evidenced by their 18 years in this space.

Covid has created some very interesting opportunities. Banks have increased capital pressure with absence of new sources for Tier 1 Capital, fewer assets to sell and the need to increase Risk Weightings. CRC has less competition, and earns higher coupons and can impose stringent loan selection criteria, removing Covid sensitive sectors.

#### AREA OF EXPERTISE

Europe Private Credit (Risk Sharing Transactions)

#### STRATEGY(IES) IN FOCUS

Credit; Private Debt; Risk Sharing Transactions with Banks

#### GEOGRAPHICAL MANDATES IN FOCUS

Europe

#### AUM RANGE (FUND IN FOCUS)

US \$500m to US \$1bn

## CoinFund

#### COMPANY PROFILE

**CoinFund** is a specialist crypto manager founded in 2015, and spanning both illiquid and liquid markets. The CoinFund strategies benefit from our technical aptitude, business understanding and hands on approach down to the code. These attributes give us early access to deals, strong relationships with teams (sometimes prior to launch) and invitations to fulfill exciting mentorship opportunities where we are at the forefront of technological innovation. In 2018, we were proud to announce our prominent partnership with Venrock Capital. We provide an additional layer of technical and industry knowledge to their crypto efforts and share a vast crypto network. In turn, the Venrock team provides valuable insights and perspective to our investments. As a result of our strong reputation and long tenure in crypto, teams look to us for expertise in designing products, reasoning about customer experience and putting together deals.

The CoinFund team is multidisciplinary, and draws from a 25+ year collective track record of investing in the crypto ecosystem. The team also brings 28+ years in traditional investing as well as backgrounds in law, computer science and finance. The power of crypto and traditional investing together has not only helped us navigate multiple bear/bull regimes but has allowed us to capitalize on the resulting technological evolutions. We are also set up to engage blockchains and decentralized networks in a way other funds may struggle to do so. This includes staking, voting, and cryptonative arbitrage and market making. Invested capital in the blockchain sector is still a fraction of annual allocations to both venture capital and fintech. Over the 2018-2019 time period, total VC investment globally was approximately \$295B globally, with fintech investment at \$40-60B and investment in blockchain startups only \$3-4.3B. With this in mind, we believe that the amount of investment and growth in blockchain startups will grow by considerable multiples in the coming years providing a fertile development opportunity.

#### AUM RANGE

Below US \$500m

#### ALTERNATIVE INVESTMENT EXPERTISE

CoinFund would like to share our 2 strategies with you.

Venture Capital strategy: VC Fund III (currently raising), will look to gain exposure in early stage growth opportunities where we see potential for technological breakthroughs and work closely with company management to



drive adoption, scalability and long-term viability. VC Fund II (2018) ~+143% total return - September 2020; Fund I (2016) ~ 25x return (15x cash on cash return).

Hedge Fund strategy: Our long-biased hedge fund, CoinFund Liquid Opportunities, is constructed to outperform today's benchmark, Bitcoin, with 130% upside capture. In bear markets, the strategy will look to outperform Bitcoin through alpha generation in digital tokens and other cryptocurrencies where we have conviction. In bull markets, the strategy looks to track Bitcoin (at a minimum) given its strong beta component / market share, and related significant moves. Launched Feb-2020 up ~ +35% YTD through September (estimate).

#### AREA OF EXPERTISE

Blockchain/Crypto Assets Hedge Fund & Tech Venture Capital

#### STRATEGY(IES) IN FOCUS

Crypto Assets; Hedge Funds; Venture Capital

#### GEOGRAPHICAL MANDATES IN FOCUS

Global

#### AUM RANGE (FUND IN FOCUS)

Below US \$250m



#### COMPANY PROFILE

The **Credit Suisse's Insurance Linked Strategies (ILS)** team has one of the longest track records in ILS dating back to 2003 when core members of the team first managed ILS funds at Bank Leu (later named Clariden Leu), a former Credit Suisse Group subsidiary. In late 2006, the team moved to Credit Suisse Group and joined Credit Suisse Asset Management to focus on institutional investors. Since then, the team has developed a successful ILS platform and offers a wide range of insurance related products.

#### AUM RANGE

US \$5bn to US \$50bn

#### AREA OF EXPERTISE

Global Insurance Linked Securities

#### STRATEGY(IES) IN FOCUS

Insurance-Linked Securities

#### GEOGRAPHICAL MANDATES IN FOCUS

Global



#### COMPANY PROFILE

**Deer Park Road Management Company, LP** ("DPR" or the "Firm"), a privately owned Registered Investment Advisor [1] formed in 2003, is focused on managing discounted mortgage- and asset-backed portfolios. STS Master Fund, Ltd ("STS" or the "Fund") seeks to generate positive returns in any interest rate environment through fundamental analysis and security selection. Specifically, the Fund aims to identify attractive investment opportunities primarily with

discounted, cash flow oriented structured credit securities and other credit investments. STS utilizes a proprietary valuation process that exploits the disparity between the intrinsic and market value of these securities – capitalizing on the traditionally inefficient MBS / ABS marketplace. The Fund also invests in long or short positions in derivative and equity instruments for hedging and speculative purposes.

### AUM RANGE

US \$1bn to US \$ 5bn

### ALTERNATIVE INVESTMENT EXPERTISE

**Objective:** Seeks to generate positive returns in any interest rate environment through fundamental analysis and security selection.

**Philosophy:** Buy and hold approach to source and manage a portfolio with a focus on investments with:

- Steady monthly cash flow
- Capital appreciation
- Opportunistic investment approach

### Strategy

- Seeks to take advantage of the deal flow, systems and portfolio management process used to manage all Deer Park portfolios - Discounted, high cash flow structured credit securities
- Value focused, opportunistic investment approach across the entire structured credit spectrum
- Hedging and short investment holdings to successfully navigate credit cycle transitions

### AREA OF EXPERTISE

Global (North America) Specialist Credit Strategy

### STRATEGY(IES) IN FOCUS

Credit; Structured Credit

### GEOGRAPHICAL MANDATES IN FOCUS

North America

### AUM RANGE (FUND IN FOCUS)

US \$1bn to US \$5bn



*Risk.Return.Optimized.*

### COMPANY PROFILE

**Estee** is one of the leading names in the quant trading/HFT space in India. We primarily operate in three business verticals: Proprietary Trading, Asset Management and Execution & Advisory Services (Institutional Broking). Estee is a pioneer in building algorithmic investment and execution products and has a strong track record in the Indian capital markets. We have received the award for 'Best Institutional Member' from National Stock Exchange (NSE) in 2019. As part of our Asset Management business, we run a few market neutral/arbitrage products and a quant long-only equity product.

### AUM RANGE

Below US \$500m

## ALTERNATIVE INVESTMENT EXPERTISE

Estee India Fund (EIF) is a quant multi strategy market-neutral dollar hedged fund focused on generating alpha in emerging markets. The fund trades cross-listed securities in equity, commodity and currency derivatives. Using a state of the art, proprietary, low latency algorithmic execution platform, EIF constructs a hedged portfolio and targets to generate uncorrelated high single digit returns. EIF targets cross border inefficiencies in same or similar underlying securities across markets or product types and carries extremely limited directional risk by design. We hedge the forex risk also and take opposite arbitrage positions in instruments (listed derivatives) with similar underlying risk across markets &/ or asset classes in different venues including Singapore, Dubai, CME(US) & India. Besides arbitrage, some part of the fund's allocation is in stat-arb strategies which is expected to increase the overall Sharpe of the fund.

Estee US \$ denominated market neutral fund, EIF, has delivered about ~7% net return per year for last 5 years with zero down years while providing monthly liquidity to its investors.

## AREA OF EXPERTISE

Emerging Markets Quantitative Multi-Strategy

## STRATEGY(IES) IN FOCUS

Hedge Funds

## GEOGRAPHICAL MANDATES IN FOCUS

ASEAN; India; North America; Middle East

## AUM RANGE (FUND IN FOCUS)

Below US \$250m



## COMPANY PROFILE

The notion of “connecting” is powerful – it has shaped our evolution and our world today. At Janus Henderson, we seek to benefit clients through the connections we make. Connections enable strong relationships based on trust and insight as well as the flow of ideas among our investment teams and our engagement with companies. These connections are central to our values, to what active management stands for and to the long-term outperformance we seek to deliver.

**Janus Henderson** is a global asset manager with more than 345 investment professionals and expertise across all major asset classes. Our individual, intermediary and institutional clients span the globe and entrust us with more than US\$336.7bn of their assets\*. Our commitment to active management offers clients the opportunity to outperform passive strategies over the course of market cycles. Through times of both market calm and growing uncertainty, our managers apply their experience weighing risk versus reward potential – seeking to ensure clients are on the right side of change.

\*Source: Janus Henderson Investors. Staff and AUM data as at 30 June 2020. AUM data excludes Exchange-Traded Note ('ETN') assets.

## AUM RANGE

Above US \$200bn

## ALTERNATIVE INVESTMENT EXPERTISE

Please refer to information on this page. <https://www.janushenderson.com/en-au/investor/global-multi-strategy-fund/>





**London**  
Stock Exchange Group

### AREA OF EXPERTISE

Global Multi-Strategy

### STRATEGY(IES) IN FOCUS

Hedge Funds

### GEOGRAPHICAL MANDATES IN FOCUS

Asia ex-Japan; Australia & New Zealand

### AUM RANGE (FUND IN FOCUS)

US \$1bn to US \$5bn

### COMPANY PROFILE

**The London Stock Exchange Group** brings together Capital Markets, Information Services, Post-Trading and Technology businesses. The Institutional Investors Team can provide Asset owners and Family Offices with market updates across:

- Alternatives: Investment Fund Market in London and Market for Investment Vehicles in Milan to access diversified geographies/sectors and multi-asset strategies via closed-ended listed investment funds.
- Sustainable Finance and ESG: markets for green/social bonds and ETFs, plus Sustainable Finance initiatives and partnership programs.
- Equities: market structure in Europe, Brexit implications and trading observations during Covid-19 volatility spikes.
- Fixed Income (MTS platform for wholesale government bond) and Derivatives (IDEM market for Italian futures and options).

### AUM RANGE

US \$50bn to US \$200bn

### ALTERNATIVE INVESTMENT EXPERTISE

- Buyside players seeking capital raising or investment opportunities in Europe via closed-ended investment vehicles listed on London Stock Exchange or Borsa Italiana in Milan.
- Asset managers, Asset owners or family offices who are vocal in the ESG space and would like to discuss Sustainability positioning of market participants in Europe.
- More generally, investors that are keen to engage on the evolution of the European Market Structure, its innovation and the regulatory changes.

### AREA OF EXPERTISE

Europe (London) Multi Asset & ESG

### STRATEGY(IES) IN FOCUS

ESG Investments; Hedge Funds; Infrastructure Debt/Equity; Multi-Asset; Private Equity; Real Estate; Renewable Energy; Venture Capital; Infrastructure Debt/Equity

### GEOGRAPHICAL MANDATES IN FOCUS

Europe; Global

### AUM RANGE (FUND IN FOCUS)

US \$500m to US \$1bn



## COMPANY PROFILE

**Plotinus Asset Management** engages qualified investors with financial approaches that exploit artificial intelligence. Our roots can be traced to the development of 'trading signals' as an asset-allocation overlay. We have evolved into a regulated portfolio manager with an array of institutional capabilities.

Its suite of AI-based products is designed primarily for Institutional Investors and Family Offices who are eligible to invest in offshore funds. It is offering the opportunity to do so through its Plotinus 2 Pi Fund.

Plotinus Asset Management is regulated by the Cayman Islands Monetary Authority. The firm is registered with the US Commodity Futures Trading Commission and is a member the US National Futures Association. See <https://plotinus.ai>

## AUM RANGE

Below US \$500m

## ALTERNATIVE INVESTMENT EXPERTISE

**Summary Fund:** The Plotinus 2 Pi Fund is a replacement product for US-targeted passive investment funds, designed to amplify the upside and mitigate the downside in the S&P 500. It is a Cayman fund for offshore Institutional Investors and Family Offices.

**Strategy:** The Plotinus 2 $\pi$  Enhanced Index. An Artificial Intelligence strategy designed to deliver better risk adjusted returns and modulate volatility on core US equity investments using highly liquid exchange traded instruments.

**Asset Class:** US Equities. Highlights

- Firm manages AI-based overlay on S&P 500.
- One-of-kind strategy. There is no known equivalent.
- Expertise predicated on seven years of R&D with derived data generated by in-house data scientists

## AREA OF EXPERTISE

Artificial Intelligence US Equity Smart Beta

## STRATEGY(IES) IN FOCUS

Artificial Intelligence - US Equity

## GEOGRAPHICAL MANDATES IN FOCUS

Global

## AUM RANGE (FUND IN FOCUS)

Below US \$250m

**QMA WADHWANI** |  
a PGIM business



## COMPANY PROFILE

**QMA Wadhvani** is a London based asset management company and part of the PGIM Group. It specialises in Systematic Macro investing which is done by researching and investing across alternative risk premia. Rather than treating these premia in silos, we combine them via non-linear signal combinations and then overlay a dynamic strategy solution, tilting and timing our exposures to maximise unfolding opportunities. We have a sophisticated portfolio construction process with clear focus on capital preservation and downside risk management. The Investment Board then oversees this systematic approach.

## AUM RANGE

US \$50bn to US \$200bn

## ALTERNATIVE INVESTMENT EXPERTISE

The Fund in-focus is the Systematic Absolute Return Strategy (SAR) which allocates capital across the following five risk premia: Macro, Value, Carry, Sentiment and Inter Market Linkages, with Momentum then assisting the dynamic signal combination process.

- While Value, Carry and Momentum are widely utilised, we feel an edge can be provided by a deep understanding of Macro factors alongside active utilisation of lesser used Sentiment and Inter Market Linkage factors. Combining these factors is a crucial and differentiated part of our process.
- Unusually for the Systematic Macro sector we have a 75% allocation to Directional strategies and only 25% to Relative Value ones.
- SAR is highly diversified across markets and covers Global Equity Indices, US Equity sectors, Global Fixed Income instruments, a wide range of Currencies and a select number of Commodities including Gold.

All trading is carried out through our proprietary algorithms which have successfully reduced trading costs and slippage.

## AREA OF EXPERTISE

Systematic Absolute Return Strategy

## STRATEGY(IES) IN FOCUS

Alternative Risk Premia; Hedge Funds; Multi-Asset

## GEOGRAPHICAL MANDATES IN FOCUS

Global

## AUM RANGE (FUND IN FOCUS)

US \$250m to US \$500m

## COMPANY PROFILE

**Quant Infinity** is a Data Science company that develops algorithmic trading solutions based on Artificial Intelligence (AI) and Machine Learning (ML), specifically for third-party asset management companies, as well as directly to institutional investors.

At Quant Infinity we solely focus on price series in liquid financial markets, our returns are generated by the exploitation of the statistical characteristics of the market.

Our product is subjected to rigorous pre-trade controls as part of a multi-level risk management process. Under specific guidelines, the system efficiently deploys its capital across different instruments, allowing for a low concentration of market risk and uncorrelated returns.

## AUM RANGE

Below US \$500m

## ALTERNATIVE INVESTMENT EXPERTISE

The strategy follows a systematic rule-based trading methodology:

- Returns generated by the exploitation of the statistical characteristics of market;





- Trades generated by an algorithm and electronically executed;
- The Strategy has no discretionary component;
- Markets are effective in a first approximation, but not in a second.

The strategy which currently encompass more than 7000 uncorrelated bots is being implemented through 70-80 futures (indices).

#### AREA OF EXPERTISE

Global Macro (Quantitative)

#### STRATEGY(IES) IN FOCUS

Hedge Funds; Quantitative Investing

#### GEOGRAPHICAL MANDATES IN FOCUS

Global

#### AUM RANGE (FUND IN FOCUS)

Below US \$250m



#### COMPANY PROFILE

**Richard Bernstein Advisors (RBA)** is an investment manager focusing on long-only, global equity and asset allocation investment strategies. The firm is unique in its “top-down” research approach versus the traditional “bottom up” style of most asset management firms. RBA utilizes proprietary macro indicators to assess market dynamics and then forms portfolios with the assistance of quantitative tools. Portfolios focus on allocating assets across various countries, sectors, size and style to generate alpha, while minimizing the security selection risk of a more concentrated portfolio.

#### AUM RANGE

US \$5bn to US \$50bn

#### ALTERNATIVE INVESTMENT EXPERTISE

Global Tactical Asset Allocation strategy - multi-asset. Richard Bernstein Advisors GTAA “Go-anywhere” asset allocation strategy that invests across asset classes, geography, and market segments such as size, style, sector, and quality. Managing within risk parameters will be a focus of our approach.

Strategy guard rails: Equity: 25% - 75%

Fixed-Income: 20% - 70%

Cash/Commodities/Currencies: 0% - 30%

#### AREA OF EXPERTISE

Global Tactical Asset Allocation Strategy

#### STRATEGY(IES) IN FOCUS

Multi-Asset

#### GEOGRAPHICAL MANDATES IN FOCUS

Global

#### AUM RANGE (FUND IN FOCUS)

Above US \$5bn

## COMPANY PROFILE

The D. E. Shaw group is a global, multi-strategy investment firm with approximately \$59.7 billion in investment and committed capital. With its first fund launched in 2012, the Alkali series is a family of intermediate-duration, opportunistic funds established to capitalize on dislocations in credit and credit-related markets. Each Alkali Fund is structured as a drawdown vehicle with a fund term that is longer than the typical investment horizon of hedge funds (typically less than 2 years) but shorter than that of traditional private equity vehicles (typically 7 to 12 years). Our goal is to allow our investors to benefit from what we believe are diminished competitive dynamics in this investment horizon gap.

## AUM RANGE

US \$50bn to US \$200bn

## ALTERNATIVE INVESTMENT EXPERTISE

The Alkali series benefits from the [Asset Backed Securities (ABS)] team's experiences in completing over \$41 billion of structured credit transactions and over \$13 billion in face value of investments in distressed debt and related assets—in various investment vehicles—since the team's formation in August 2008. We believe that a deep knowledge of primary and secondary market dynamics and capital structuring across the credit universe; the ability to quickly reconcile collateral-level insights; a strong and diverse sourcing network; and a reputation as a sophisticated and discreet investor with ready access to significant capital make us particularly well-positioned to exploit a dynamic opportunity set. The firm's broad reach, collaborative approach, and institutional-quality infrastructure complement these strengths.

The team deploys an opportunistic, alpha-oriented investment approach that seeks to identify idiosyncratic asset-specific mispricings caused by (a) asset complexity, (b) market opacity, or (c) localized dislocations created by market participants' exposures to issues such as (i) regulatory change, (ii) liquidity or asset/liability mismatches, and/or (iii) fundamental distress.

The ABS team has invested in a wide array of instrument types, including cash bond, synthetic single name, synthetic index, whole loan, real asset, and other credit-related assets, using an investment approach that combines sophisticated quantitative methods with the qualitative insights and industry relationships of talented, experienced practitioners.

The team deploys capital on behalf of a number of the D. E. Shaw group's multi-strategy hedge funds as well as the Alkali Funds. These two broad pools of capital are intended to complement each other by allowing the team to pursue opportunities in both public and private credit markets across short-term and longer-term investment horizons.

## AREA OF EXPERTISE

Global Debt & Related Assets (Asset Backed Securities)

## STRATEGY(IES) IN FOCUS

Credit; Hedge Funds; Private Debt

## GEOGRAPHICAL MANDATES IN FOCUS

Europe; North America

## AUM RANGE (FUND IN FOCUS)

US \$1bn to US \$5bn

### COMPANY PROFILE

**The Jordan Company, L.P. (“TJC”)**, founded in 1982, is a middle-market private equity firm that has managed funds with original capital commitments in excess of \$11 billion since 1987 and a 38-year track record of investing in and contributing to the growth of many businesses across a wide range of industries including Industrials; Transportation & Logistics; Consumer & Healthcare; and Telecom, Technology & Utility. The senior investment team has been investing together for over 20 years and it is supported by the Operations Management Group, which was established in 1988 to initiate and support operational improvements in portfolio companies. Headquartered in New York, TJC also has an office in Chicago.

### AUM RANGE

US \$5bn to US \$50bn

### ALTERNATIVE INVESTMENT EXPERTISE

The Jordan Company, L.P., a US middle market firm, is currently in the market with its most recent fund, The Resolute Fund V, L.P. (“Resolute V”), targeting \$4.0 billion in commitments. The fund will target control investments principally located in North America in a range of industries including Industrials; Transportation & Logistics; Healthcare & Consumer; and Telecom, Technology & Utility. Similar to prior funds, Resolute V will bring a hands-on operating strategy and portfolio company oversight while implementing an add-on strategy. Resolute V will be managed by a team that has been investing together for over 20 years.

### AREA OF EXPERTISE

North America Private Equity (Growth Capital)

### STRATEGY(IES) IN FOCUS

Private Equity

### GEOGRAPHICAL MANDATES IN FOCUS

North America

### AUM RANGE (FUND IN FOCUS)

US \$1bn to US \$5bn



### COMPANY PROFILE

**TT International** is a specialist asset manager devoted solely to managing absolute return focused equity portfolios. With over 30 years’ experience, TT was founded by Tim Tacchi in 1988 originally as a high-alpha hedge fund, managing assets for a highly regarded global macro strategy. Since then we have continued to harness a philosophy built upon high-alpha, concentrated, absolute return focused fundamental investing and have built a suite of award-winning long-only and alternative strategies.

Everything TT does aims to produce attractive risk-adjusted returns for investors. A strong alignment of interests is achieved through both portfolio managers and employees of the firm investing their own assets alongside those of clients. To help ensure consistent alpha generation is repeatable, the firm imposes capacity constraints across all strategies and success is measured by alpha generation rather than asset gathering.

### AUM RANGE

US \$5bn to US \$50bn



## ALTERNATIVE INVESTMENT EXPERTISE

TT's European Opportunities L/S Equity Fund invests in Pan European equities on a long and short basis. The defining features of the strategy are:

- Multi-cap portfolio with a style agnostic, opportunistic bottom-up approach driven by fundamental stock picking. The portfolio consists of publicly traded equities and related derivatives such as index futures to supplement short stock positions for the purpose of hedging and risk management.
- Aiming for long-term capital growth, targeting an average monthly return of 1% net of fees (10-12% per annum), whilst preserving capital in adverse market conditions and therefore providing a lower volatility return profile.
- Led by Dean Smith and Johan Fredriksson, supported by TT's global investment teams. Strong combination of buy & sell-side experience.

## AREA OF EXPERTISE

Europe Equity Long/Short Strategy

## STRATEGY(IES) IN FOCUS

ESG Investments; Hedge Funds

## GEOGRAPHICAL MANDATES IN FOCUS

Europe

## AUM RANGE (FUND IN FOCUS)

Below US \$250m



## COMPANY PROFILE

**Urania Capital Management Ltd.** is a Cayman Islands domiciled investment manager that manages Cayman Islands hedge fund Urania Capital Ltd. Urania Capital Ltd was launched in 2014 as a standalone fund for non-US and US tax-exempt investors, and was restructured in 2019 into master feeder funds to also accommodate non-tax-exempt US investors. Both the master and the feeder funds follow same proprietary market neutral strategy, which seeks long term above market return at below market risk without market correlation from investing in US and global equity markets.

## AUM RANGE

Below US \$500m

## ALTERNATIVE INVESTMENT EXPERTISE

Urania Capital seeks to generate long term above market return at below market risk without market correlation (benchmarked vs S&P500 index) from investing in US and global equity markets. It employs proprietary market neutral strategy that holds a portfolio of uncorrelated equal-weighted market neutral long short pairs. Since implementation in September 2017, the strategy has insulated investors from market volatilities and generated positive and pure Alpha returns over any 12-month period.

As of October 2020, Urania Capital has delivered net return of 43% year-to-date, 40% over 1 year, and 20% annually over 3 years, as compared with 1%, 8% and 8% respectively by S&P500 for the same periods.

**AREA OF EXPERTISE**

North America Equity Long/Short Strategy

**STRATEGY(IES) IN FOCUS**

Hedge Funds

**GEOGRAPHICAL MANDATES IN FOCUS**

North America

**AUM RANGE (FUND IN FOCUS)**

Below US \$250m